PATENT COOPERATION TREATY

PCT

| REC'D | 29 | APR | 2005 | |
|-------|----|-----|------|---|
| WIPO | | | PCT | ļ |

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

10 JUN 2005

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference | | | | | | |
|---|--|---|--|--|--|--|
| CPGNA20480 | FOR FURTHER ACTION See Form PCT/IPEA/416 | | See Form PCT/IPEA/416 | | | |
| International application No. | International filing dat | e (day/month/year) | Priority date (day/month/year) | | | |
| PCT/CN02/00940 | 31.DEC.20 | 002(31.12.02) | 10.DEC.2002 (10.12.02) | | | |
| International Patent Classification (IPC) or | | nd IPC | | | | |
| IPC ⁷ C12Q1/68 C12Q1/70 | C12P19/34 | | | | | |
| | | | | | | |
| | | | | | | |
| Applicant | | | | | | |
| TSINGHUA UNIVERSITY | et al | | | | | |
| This report is the international prelim under Article 35 and transmitted to the second control of the sec | inary examination repor te applicant according to | t, established by this Inter Article 36. | national Preliminary Examining Authority | | | |
| 2. This REPORT consists of a total of | 3 | sheets, including thi | s cover sheet. | | | |
| 3. This report is also accompanied by Al | NNEXES, comprising: | | | | | |
| a. (sent to the applicant and to | the International Bureau | u) a total of | sheets, as follows: | | | |
| sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). | | | | | | |
| sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. | | | | | | |
| b. (sent to the International Bur containing a sequence listi Supplemental Box Relating | ing and/or tables relate | d thereto, in computer | readable form only, as indicated in the | | | |
| 4. This report contains indications relat | ing to the following iten | ns: | | | | |
| ☑ Box No. I Basis of the report | | | | | | |
| Box No. II Priority | | | | | | |
| Box No. III Non-establishm | nent of opinion with reg | ard to novelty, inventive s | tep and industrial applicability | | | |
| Box No. IV Lack of unity of invention | | | | | | |
| Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; | | | | | | |
| citations and explanations supporting such statement | | | | | | |
| Box No. VI Certain documents cited | | | | | | |
| Box No. VII Certain defects in the international application | | | | | | |
| Box No. VIII Certain observations on the international application | | | | | | |
| Date of submission of the demand | | Date of completion of this report | | | | |
| 31.DEC 2002(31.12.20 | 02) | 01.APR 2005 (01.04.2005) | | | | |
| | | | [| | | |
| Name and mailing address of the IPEA/ 6 Xitucheng Rd., Jimen Bridge, Ha | aidian District | Authorized officer | LILAN | | | |
| 100088 Beijing, Chin | | · | | | | |
| Facsimile No. 86-10-62019451 | | Telephone No. 86-10- | 62085067 | | | |



INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/CN02/00940

| Box No. I | Basis of the report | | |
|------------|---|---|--|
| 1. With re | regard to the language, this report is based on the internati rise indicated under this item. | ional application in the language | in which it was filed, unless |
| ☐ Tì W | his report is based on translations from the original langua which is the language of a translation furnished for the pur | age into the following language rposes of: | , |
| Ε | international search (under Rules 12.3 and 23.1(b)) | | |
| | publication of the international application (under Ru | ıle 12.4) | |
| | | | |
| to the i | regard to the elements of the international application, this receiving Office in response to an invitation under Article ed to this report): | s report is based on (replacement e 14 are referred to in this report | sheets which have been furnished as "originally filed" and are not |
| | the international application as originally filed/furnished | | |
| | the description: pages | | * * ** ** *** * * * * * * * * * * * * * |
| | pages * | received by this Authority on | as originally filed/furnished |
| 1 | pages * | received by this Authority on | |
| ☐ th | ne claims: | | |
| | pages | | as originally filed/furnished |
| 1 | pages * | | ith any statement) under Article 19 |
| | pages * | received by this Authority on | |
| 1 | pages * | received by this Authority on | |
| ☐ th | ne drawings: | | |
| | pages | | as originally filed/furnished |
| 1 | • | received by this Authority on | as originally medianioned |
| 1 | | received by this Authority on | |
| ☐ a s | sequence listing and/or any related table(s) - see Suppleme | nental Box Relating to Sequence I | Listing. |
| | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 3. 📋 1. | The amendments have resulted in the cancellation of: | | |
| [| the description, pages | | I |
| l. | the claims, Nos. | | · |
| L | the drawings, sheets/figs | | |
| l | the sequence listing (specify): | | |
| [| any table(s) related to sequence listing (specify): | | |
| 4. 🔲 Th | his report has been established as if (some of) the amendm | nents annexed to this report and I | isted below had not been made. |
| sir | nce they have been considered to go beyond the disclosure | e as filed, as indicated in the Sup | plemental Box (Rule 70.2(c)). |
| [| □ A-3 | | |
| <u>.</u> | the claims, Nos. | | |
| Ĺ | ine drawings, sheets/figs | | |
| L | the sequence listing (specify): | | |
| ا | any table(s) related to sequence listing (specify): | | |
| * If iten | m 4 applies, some or all of those sheets may be marked "st | uperseded." | |



International application No. PCT/CN02/00940

| citations and evaluations | | | Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; | | | |
|--|---------------------|--|--|--|--|--|
| citations and explanations supporting such statement | | | | | | |
| Statement: | | | | | | |
| Novelty (N) | Claims | 1-36 | YES | | | |
| | Claims | | NO NO | | | |
| Inventive step (IS) | Claims | 1-36 | YES | | | |
| | Claims | - | NO | | | |
| Industrial applicability (IA) | Claims | 1-36 | YES | | | |
| | Claims | | NO | | | |
| | Inventive step (IS) | Novelty (N) Claims Claims Inventive step (IS) Claims Claims Claims Claims | Novelty (N) Claims 1-36 Claims Inventive step (IS) Claims 1-36 Claims Industrial applicability (IA) Claims 1-36 | | | |

2. Citations and explanations (Rule 70.7)

Reference is made to the following documents:

Document 1: WO, A1,0155438(UYCI-N) 02.AGU.2001(02.08.2001)

Document 2: WO, A1,0061803(NANO-N) 19.OCT.2000(19.10.2000)

Document 3: WO, A1, 9804745(BRAN-I, ZHAN-I, MOUN)05.FEB.1998(05.02.1998)

Document 4: CN,A, 1175282(GAME-N)04.MAR.1998(04.03.1998)

1. Novelty (Article 33(2)PCT)

The embodiments of claims 1-36 of the present invention are not the same as the prior art. Claims 1-36 are novel under Article 33(2). PCT.

2. Inventive step (Article 33(3)PCT)

These claims 1-36 have inventive steps compared with the D1,D2,D3 and D4, which would have been not obvious to one of ordinary skilled in the art.

Claims 1-36 of the present application have inventive steps, meet the requirement of Article 33(3) PCT.

3. Industrial applicability (Article 33(4)PCT)

The present processes and kits can be used for amplifying a nucleic acid of a target cell or virus, using inter alia, binding between a target cell, cellular organelle or virus with a magnetic microbead.

Therefor, claims 1-36 meet the criteria set out for industrial applicability in Article 33(4) PCT.